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Dirac Matrices and Feynman's Rest of the Universe

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Abstract: There are two sets of four-by-four matrices introduced by Dirac. The first set consists of fifteen Majorana matrices derivable from his four γ matrices. These fifteen matrices can also serve as the generators of the group SL(4, r). The second set consists of ten generators of the Sp(4) group which Dirac derived from two coupled harmonic oscillators. It is shown possible to extend the symmetry of Sp(4) to that of SL(4, r) if the area of the phase space of one of the oscillators is allowed to become smaller without a lower limit. While there are no restrictions on the size of phase space in classical mechanics, Feynman's rest of the universe makes this Sp(4)-to-SL(4, r) transition possible. The ten generators are for the world where quantum mechanics is valid. The remaining five generators belong to the rest of the universe. It is noted that the groups SL(4, r) and Sp(4) are locally isomorphic to the Lorentz groups O(3,3) and O(3,2) respectively. This allows us to interpret Feynman's rest of the universe in terms of space-time symmetry.

Keywords: Dirac gamma matrices; Feynman's rest of the universe; two coupled oscilators; Wigner's phase space; non-canonical transformations; group generators; SL(4, r) isomorphic O(3, 3); quantum mechanics interpretation

1. Introduction

In 1963, Paul A. M. Dirac published an interesting paper on the coupled harmonic oscillators [1]. Using step-up and step-down operators, Dirac was able to construct ten operators satisfying a closed set of commutation relations. He then noted that this set of commutation relations can also be used as the

Lie algebra for the O(3, 2) de Sitter group applicable to three space and two time dimensions. He noted further that this is the same as the Lie algebra for the four-dimensional symplectic group Sp(4).

His algebra later became the fundamental mathematical language for two-mode squeezed states in quantum optics [2-5]. Thus, Dirac's ten oscillator matrices play a fundamental role in modern physics.

In the Wigner phase-space representation, it is possible to write the Wigner function in terms of two position and two momentum variables. It was noted that those ten operators of Dirac can be translated into the operators with these four variables [4,6], which then can be written as four-by-four matrices. There are thus ten four-by-four matrices. We shall call them Dirac's oscillator matrices. They are indeed the generators of the symplectic group Sp(4).

We are quite familiar with four Dirac matrices for the Dirac equation, namely γ_1 , γ_2 , γ_3 , and γ_0 . They all become imaginary in the Majorana representation. From them we can construct fifteen linearly independent four-by-four matrices. It is known that these four-by-four matrices can serve as the generators of the SL(4, r) group [6,7]. It is also known that this SL(4, r) group is locally isomorphic to the Lorentz group O(3,3) applicable to the three space and three time dimensions [6,7].

There are now two sets of the four-by-four matrices constructed by Dirac. The first set consists of his ten oscillator matrices, and there are fifteen γ matrices coming from his Dirac equation. There is thus a difference of five matrices. The question is then whether this difference can be explained within the framework of the oscillator formalism with tangible physics.

It was noted that his original O(3,2) symmetry can be extended to that of O(3,3) Lorentz group applicable to the six dimensional space consisting of three space and three time dimensions. This requires the inclusion of non-canonical transformations in classical mechanics [6]. These non-canonical transformations cannot be interpreted in terms of the present form of quantum mechanics.

On the other hand, we can use this non-canonical effect to illustrate the concept of Feynman's rest of the universe. This oscillator system can serve as two different worlds. The first oscillator is the world in which we do quantum mechanics, and the second is for the rest of the universe. Our failure to observe the second oscillator results in the increase in the size of the Wigner phase space, thus increasing the entropy [8].

Instead of ignoring the second oscillator, it is of interest to see what happens to it. In this paper, it is shown that Planck's constant does not have a lower limit. This is allowed in classical mechanics, but not in quantum mechanics.

Indeed, Dirac's ten oscillator matrices explain the quantum world for both oscillators. The set of Dirac's fifteen γ matrices contains his ten oscillator matrices as a subset. We discuss in this paper the physics of this difference.

In Section 2, we start with Dirac's four γ matrices in the Majorana representation and construct all fifteen four-by-four matrices applicable to the Majorana form of the Dirac spinors. Section 3 reproduces Dirac's derivation of the O(3, 2) symmetry with ten generators from two coupled oscillators. This group is locally isomorphic to Sp(4), which allows canonical transformations in classical mechanics.

In Section 4, we translate Dirac's formalism into the language of the Wigner phase space. This allows us to extend the Sp(4) symmetry into the non-canonical region in classical mechanics. The resulting symmetry is that of SL(4, r), isomorphic to that of the Lorentz group O(3,3) with fifteen generators. This allows us to establish the correspondence between Dirac's Majorana matrices with those SL(4, r) four-by-four matrices applicable to the two oscillator system, as well as the fifteen six-by-six matrices that serve as the generators of the O(3,3) group.

Finally, in Section 5, it is shown that the difference between the ten oscillator matrices and the fifteen Majorana matrix can serve as an illustrative example of Feynman's rest of the universe [8,9].

2. Dirac Matrices in the Majorana Representation

Since all the generators for the two coupled oscillator system can be written as four-by-four matrices with imaginary elements, it is convenient to work with Dirac matrices in the Majorana representation, where the all the elements are imaginary [7,10,11]. In the Majorana representation, the four Dirac γ matrices are

$$\gamma_{1} = i \begin{pmatrix} \sigma_{3} & 0 \\ 0 & \sigma_{3} \end{pmatrix}, \qquad \gamma_{2} = \begin{pmatrix} 0 & -\sigma_{2} \\ \sigma_{2} & 0 \end{pmatrix}$$
$$\gamma_{3} = -i \begin{pmatrix} \sigma_{1} & 0 \\ 0 & \sigma_{1} \end{pmatrix}, \qquad \gamma_{0} = \begin{pmatrix} 0 & \sigma_{2} \\ \sigma_{2} & 0 \end{pmatrix}$$
(1)

where

$$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \sigma_1 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

These γ matrices are transformed like four-vectors under Lorentz transformations. From these four matrices, we can construct one pseudo-scalar matrix

$$\gamma_5 = i\gamma_0\gamma_1\gamma_2\gamma_3 = \begin{pmatrix} \sigma_2 & 0\\ 0 & -\sigma_2 \end{pmatrix}$$
(2)

and a pseudo vector $i\gamma_5\gamma_\mu$ consisting of

$$i\gamma_5\gamma_1 = i\begin{pmatrix} -\sigma_1 & 0\\ 0 & \sigma_1 \end{pmatrix}, \qquad i\gamma_5\gamma_2 = -i\begin{pmatrix} 0 & I\\ I & 0 \end{pmatrix}$$
$$i\gamma_5\gamma_0 = i\begin{pmatrix} 0 & I\\ -I & 0 \end{pmatrix}, \qquad i\gamma_5\gamma_3 = i\begin{pmatrix} -\sigma_3 & 0\\ 0 & +\sigma_3 \end{pmatrix}$$
(3)

In addition, we can construct the tensor of the γ as

$$T_{\mu\nu} = \frac{i}{2} \left(\gamma_{\mu} \gamma_{\nu} - \gamma_{\nu} \gamma_{\mu} \right) \tag{4}$$

This antisymmetric tensor has six components. They are

$$i\gamma_0\gamma_1 = -i\begin{pmatrix} 0 & \sigma_1\\ \sigma_1 & 0 \end{pmatrix}, \quad i\gamma_0\gamma_2 = -i\begin{pmatrix} -I & 0\\ 0 & I \end{pmatrix}, \quad i\gamma_0\gamma_3 = -i\begin{pmatrix} 0 & \sigma_3\\ \sigma_3 & 0 \end{pmatrix}$$
(5)

and

$$i\gamma_1\gamma_2 = i\begin{pmatrix} 0 & -\sigma_1\\ \sigma_1 & 0 \end{pmatrix}, \quad i\gamma_2\gamma_3 = -i\begin{pmatrix} 0 & -\sigma_3\\ \sigma_3 & 0 \end{pmatrix}, \quad i\gamma_3\gamma_1 = \begin{pmatrix} \sigma_2 & 0\\ 0 & \sigma_2 \end{pmatrix}$$
(6)

There are now fifteen linearly independent four-by-four matrices. They are all traceless and their components are imaginary [7]. We shall call these Dirac's Majorana matrices.

In 1963 [1], Dirac constructed another set of four-by-four matrices from two coupled harmonic oscillators, within the framework of quantum mechanics. He ended up with ten four-by-four matrices. It is of interest to compare his oscillator matrices and his fiftteen Majorana matrices.

3. Dirac's Coupled Oscillators

In his 1963 paper [1], Dirac started with the Hamiltonian for two harmonic oscillators. It can be written as

$$H = \frac{1}{2} \left(p_1^2 + x_1^2 \right) + \frac{1}{2} \left(p_2^2 + x_2^2 \right)$$
(7)

The ground-state wave function for this Hamiltonian is

$$\psi_0(x_1, x_2) = \frac{1}{\sqrt{\pi}} \exp\left\{-\frac{1}{2}(x_1^2 + x_2^2)\right\}$$
(8)

We can now consider unitary transformations applicable to the ground-state wave function of Equation (8), and Dirac noted that those unitary transformations are generated by [1]

$$L_{1} = \frac{1}{2} \left(a_{1}^{\dagger} a_{2} + a_{2}^{\dagger} a_{1} \right), \qquad L_{2} = \frac{1}{2i} \left(a_{1}^{\dagger} a_{2} - a_{2}^{\dagger} a_{1} \right)$$

$$L_{3} = \frac{1}{2} \left(a_{1}^{\dagger} a_{1} - a_{2}^{\dagger} a_{2} \right), \qquad S_{3} = \frac{1}{2} \left(a_{1}^{\dagger} a_{1} + a_{2} a_{2}^{\dagger} \right)$$

$$K_{1} = -\frac{1}{4} \left(a_{1}^{\dagger} a_{1}^{\dagger} + a_{1} a_{1} - a_{2}^{\dagger} a_{2}^{\dagger} - a_{2} a_{2} \right)$$

$$K_{2} = \frac{i}{4} \left(a_{1}^{\dagger} a_{1}^{\dagger} - a_{1} a_{1} + a_{2}^{\dagger} a_{2}^{\dagger} - a_{2} a_{2} \right)$$

$$K_{3} = \frac{1}{2} \left(a_{1}^{\dagger} a_{2}^{\dagger} + a_{1} a_{2} \right)$$

$$Q_{1} = -\frac{i}{4} \left(a_{1}^{\dagger} a_{1}^{\dagger} - a_{1} a_{1} - a_{2}^{\dagger} a_{2}^{\dagger} + a_{2} a_{2} \right)$$

$$Q_{2} = -\frac{1}{4} \left(a_{1}^{\dagger} a_{1}^{\dagger} + a_{1} a_{1} + a_{2}^{\dagger} a_{2}^{\dagger} + a_{2} a_{2} \right)$$

$$Q_{3} = \frac{i}{2} \left(a_{1}^{\dagger} a_{2}^{\dagger} - a_{1} a_{2} \right) \qquad (9)$$

where a^{\dagger} and a are the step-up and step-down operators applicable to harmonic oscillator wave functions. These operators satisfy the following set of commutation relations.

$$[L_{i}, L_{j}] = i\epsilon_{ijk}L_{k}, \qquad [L_{i}, K_{j}] = i\epsilon_{ijk}K_{k}, \qquad [L_{i}, Q_{j}] = i\epsilon_{ijk}Q_{k}$$
$$[K_{i}, K_{j}] = [Q_{i}, Q_{j}] = -i\epsilon_{ijk}L_{k}, \qquad [L_{i}, S_{3}] = 0$$
$$[K_{i}, Q_{j}] = -i\delta_{ij}S_{3}, \qquad [K_{i}, S_{3}] = -iQ_{i}, \qquad [Q_{i}, S_{3}] = iK_{i}$$
(10)

Dirac then determined that these commutation relations constitute the Lie algebra for the O(3, 2) de Sitter group with ten generators. This de Sitter group is the Lorentz group applicable to three space coordinates and two time coordinates. Let us use the notation (x, y, z, t, s), with (x, y, z) as space coordinates and (t, s) as two time coordinates. Then the rotation around the z axis is generated by

The generators L_1 and L_2 can be also be constructed. The K_3 and Q_3 will take the form

From these two matrices, the generators K_1, K_2, Q_1, Q_2 can be constructed. The generator S_3 can be written as

The last five-by-five matrix generates rotations in the two-dimensional space of (t, s).

In his 1963 paper [1], Dirac states that the Lie algebra of Equation (10) can serve as the four-dimensional symplectic group Sp(4). In order to see this point, let us go to the Wigner phase-space picture of the coupled oscillators.

3.1. Wigner Phase-Space Representation

For this two-oscillator system, the Wigner function is defined as [4,6]

$$W(x_1, x_2; p_1, p_2) = \left(\frac{1}{\pi}\right)^2 \int \exp\left\{-2i(p_1y_1 + p_2y_2)\right\}$$
$$\times \psi^*(x_1 + y_1, x_2 + y_2)\psi(x_1 - y_1, x_2 - y_2)dy_1dy_2$$
(14)

Indeed, the Wigner function is defined over the four-dimensional phase space of (x_1, p_1, x_2, p_2) just as in the case of classical mechanics. The unitary transformations generated by the operators of Equation (9) are translated into linear canonical transformations of the Wigner function [4]. The canonical transformations are generated by the differential operators [4]:

$$L_1 = +\frac{i}{2} \left\{ \left(x_1 \frac{\partial}{\partial p_2} - p_2 \frac{\partial}{\partial x_1} \right) + \left(x_2 \frac{\partial}{\partial p_1} - p_1 \frac{\partial}{\partial x_2} \right) \right\}$$

$$L_{2} = -\frac{i}{2} \left\{ \left(x_{1} \frac{\partial}{\partial x_{2}} - x_{2} \frac{\partial}{\partial x_{1}} \right) + \left(p_{1} \frac{\partial}{\partial p_{2}} - p_{2} \frac{\partial}{\partial p_{1}} \right) \right\}$$

$$L_{3} = +\frac{i}{2} \left\{ \left(x_{1} \frac{\partial}{\partial p_{1}} - p_{1} \frac{\partial}{\partial x_{1}} \right) - \left(x_{2} \frac{\partial}{\partial p_{2}} - p_{2} \frac{\partial}{\partial x_{2}} \right) \right\}$$

$$S_{3} = -\frac{i}{2} \left\{ \left(x_{1} \frac{\partial}{\partial p_{1}} - p_{1} \frac{\partial}{\partial x_{1}} \right) + \left(x_{2} \frac{\partial}{\partial p_{2}} - p_{2} \frac{\partial}{\partial x_{2}} \right) \right\}$$
(15)

and

$$K_{1} = -\frac{i}{2} \left\{ \left(x_{1} \frac{\partial}{\partial p_{1}} + p_{1} \frac{\partial}{\partial x_{1}} \right) - \left(x_{2} \frac{\partial}{\partial p_{2}} + p_{2} \frac{\partial}{\partial x_{2}} \right) \right\}$$

$$K_{2} = -\frac{i}{2} \left\{ \left(x_{1} \frac{\partial}{\partial x_{1}} - p_{1} \frac{\partial}{\partial p_{1}} \right) + \left(x_{2} \frac{\partial}{\partial x_{2}} - p_{2} \frac{\partial}{\partial p_{2}} \right) \right\}$$

$$K_{3} = +\frac{i}{2} \left\{ \left(x_{1} \frac{\partial}{\partial p_{2}} + p_{2} \frac{\partial}{\partial x_{1}} \right) + \left(x_{2} \frac{\partial}{\partial p_{1}} + p_{1} \frac{\partial}{\partial x_{2}} \right) \right\}$$

$$Q_{1} = +\frac{i}{2} \left\{ \left(x_{1} \frac{\partial}{\partial x_{1}} - p_{1} \frac{\partial}{\partial p_{1}} \right) - \left(x_{2} \frac{\partial}{\partial x_{2}} - p_{2} \frac{\partial}{\partial p_{2}} \right) \right\}$$

$$Q_{2} = -\frac{i}{2} \left\{ \left(x_{1} \frac{\partial}{\partial p_{1}} + p_{1} \frac{\partial}{\partial x_{1}} \right) + \left(x_{2} \frac{\partial}{\partial p_{2}} + p_{2} \frac{\partial}{\partial x_{2}} \right) \right\}$$

$$Q_{3} = -\frac{i}{2} \left\{ \left(x_{2} \frac{\partial}{\partial x_{1}} + x_{1} \frac{\partial}{\partial x_{2}} \right) - \left(p_{2} \frac{\partial}{\partial p_{1}} + p_{1} \frac{\partial}{\partial p_{2}} \right) \right\}$$
(16)

3.2. Translation into Four-by-Four Matrices

For a dynamical system consisting of two pairs of canonical variables x_1, p_1 and x_2, p_2 , we can use the coordinate variables defined as [6]

$$(\eta_1, \eta_2, \eta_3, \eta_4) = (x_1, p_1, x_2, p_2)$$
(17)

Then the transformation of the variables from η_i to ξ_i is canonical if [12,13]

$$MJ\tilde{M} = J \tag{18}$$

where M is a four-by-four matrix defined by

$$M_{ij} = \frac{\partial}{\partial \eta_j} \xi_i$$

and

$$J = \begin{pmatrix} 0 & 1 & 0 & 0 \\ -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & -1 & 0 \end{pmatrix}$$
(19)

According to this form of the J matrix, the area of the phase space for x_1 and p_1 variables remains invariant, and the story is the same for the phase space of x_2 and p_2 .

We can then write the generators of the Sp(4) group as

$$L_{1} = \frac{-1}{2} \begin{pmatrix} 0 & \sigma_{2} \\ \sigma_{2} & 0 \end{pmatrix}, \qquad L_{2} = \frac{i}{2} \begin{pmatrix} 0 & -I \\ I & 0 \end{pmatrix}$$
$$L_{3} = \frac{1}{2} \begin{pmatrix} -\sigma_{2} & 0 \\ 0 & \sigma_{2} \end{pmatrix}, \qquad S_{3} = \frac{1}{2} \begin{pmatrix} \sigma_{2} & 0 \\ 0 & \sigma_{2} \end{pmatrix}$$
(20)

and

$$K_1 = \frac{i}{2} \begin{pmatrix} \sigma_1 & 0 \\ 0 & -\sigma_1 \end{pmatrix}, \quad K_2 = \frac{i}{2} \begin{pmatrix} \sigma_3 & 0 \\ 0 & \sigma_3 \end{pmatrix}, \quad K_3 = -\frac{i}{2} \begin{pmatrix} 0 & \sigma_1 \\ \sigma_1 & 0 \end{pmatrix}$$

and

$$Q_1 = \frac{i}{2} \begin{pmatrix} -\sigma_3 & 0\\ 0 & \sigma_3 \end{pmatrix}, \quad Q_2 = \frac{i}{2} \begin{pmatrix} \sigma_1 & 0\\ 0 & \sigma_1 \end{pmatrix}, \quad Q_3 = \frac{i}{2} \begin{pmatrix} 0 & \sigma_3\\ \sigma_3 & 0 \end{pmatrix}$$
(21)

These four-by-four matrices satisfy the commutation relations given in Equation (10). Indeed, the de Sitter group O(3,2) is locally isomorphic to the Sp(4) group. The remaining question is whether these ten matrices can serve as the fifteen Dirac matrices given in Section 2. The answer is clearly no. How can ten matrices describe fifteen matrices? We should therefore add five more matrices.

4. Extension to O(3,3) Symmetry

Unlike the case of the Schrödinger picture, it is possible to add five non-canonical generators to the above list. They are

$$S_{1} = +\frac{i}{2} \left\{ \left(x_{1} \frac{\partial}{\partial x_{2}} - x_{2} \frac{\partial}{\partial x_{1}} \right) - \left(p_{1} \frac{\partial}{\partial p_{2}} - p_{2} \frac{\partial}{\partial p_{1}} \right) \right\}$$

$$S_{2} = -\frac{i}{2} \left\{ \left(x_{1} \frac{\partial}{\partial p_{2}} - p_{2} \frac{\partial}{\partial x_{1}} \right) + \left(x_{2} \frac{\partial}{\partial p_{1}} - p_{1} \frac{\partial}{\partial x_{2}} \right) \right\}$$

$$(22)$$

as well as three additional squeeze operators:

$$G_{1} = -\frac{i}{2} \left\{ \left(x_{1} \frac{\partial}{\partial x_{2}} + x_{2} \frac{\partial}{\partial x_{1}} \right) + \left(p_{1} \frac{\partial}{\partial p_{2}} + p_{2} \frac{\partial}{\partial p_{1}} \right) \right\}$$

$$G_{2} = \frac{i}{2} \left\{ \left(x_{1} \frac{\partial}{\partial p_{2}} + p_{2} \frac{\partial}{\partial x_{1}} \right) - \left(x_{2} \frac{\partial}{\partial p_{1}} + p_{1} \frac{\partial}{\partial x_{2}} \right) \right\}$$

$$G_{3} = -\frac{i}{2} \left\{ \left(x_{1} \frac{\partial}{\partial x_{1}} + p_{1} \frac{\partial}{\partial p_{1}} \right) + \left(x_{2} \frac{\partial}{\partial p_{1}} + p_{1} \frac{\partial}{\partial x_{2}} \right) \right\}$$
(23)

These five generators perform well-defined operations on the Wigner function. However, the question is whether these additional generators are acceptable in the present form of quantum mechanics.

In order to answer this question, let us note that the uncertainty principle in the phase-space picture of quantum mechanics is stated in terms of the minimum area in phase space for a given pair of conjugate variables. The minimum area is determined by Planck's constant. Thus we are allowed to expand the phase space, but are not allowed to contract it. With this point in mind, let us go back to G_3 of

If the five generators of Equations (22) and (23) are added to the ten generators given in Equations (15) and (16), there are fifteen generators. They satisfy the following set of commutation relations.

$$[L_{i}, L_{j}] = i\epsilon_{ijk}L_{k}, \qquad [S_{i}, S_{j}] = i\epsilon_{ijk}S_{k}, \qquad [L_{i}, S_{j}] = 0$$

$$[L_{i}, K_{j}] = i\epsilon_{ijk}K_{k}, \qquad [L_{i}, Q_{j}] = i\epsilon_{ijk}Q_{k}, \qquad [L_{i}, G_{j}] = i\epsilon_{ijk}G_{k}$$

$$[K_{i}, K_{j}] = [Q_{i}, Q_{j}] = [Q_{i}, Q_{j}] = -i\epsilon_{ijk}L_{k}$$

$$[K_{i}, Q_{j}] = -i\delta_{ij}S_{3}, \qquad [Q_{i}, G_{j}] = -i\delta_{ij}S_{1}, \qquad [G_{i}, K_{j}] = -i\delta_{ij}S_{2}$$

$$[K_{i}, S_{3}] = -iQ_{i}, \qquad [Q_{i}, S_{3}] = iK_{i}, \qquad [G_{i}, S_{3}] = 0$$

$$[K_{i}, S_{1}] = 0, \qquad [Q_{i}, S_{1}] = -iG_{i}, \qquad [G_{i}, S_{1}] = iQ_{i}$$

$$[K_{i}, S_{2}] = iG_{i}, \qquad [Q_{i}, S_{2}] = 0, \qquad [G_{i}, S_{2}] = -iK_{i}$$

$$(24)$$

As we shall see in Section 4.2, this set of commutation relations serves as the Lie algebra for the group SL(4, r) and also for the O(3, 3) Lorentz group.

These fifteen four-by-four matrices are written in terms of Dirac's fifteen Majorana matrices, and are tabulated in Table 1. There are six anti-symmetric and nine symmetric matrices. These anti-symmetric matrices were divided into two sets of three rotation generators in the four-dimensional phase space. The nine symmetric matrices can be divided into three sets of three squeeze generators. However, this classification scheme is easier to understand in terms the group O(3,3), discussed in Section 4.2.

Table 1. SL(4, r) and Dirac matrices. Two sets of rotation generators and three sets of boost generators. There are 15 generators.

	First component	Second component	Third component
Rotation	$L_1 = \frac{-i}{2}\gamma_0$	$L_2 = \frac{-i}{2}\gamma_5\gamma_0$	$L_3 = \frac{-1}{2}\gamma_5$
Rotation	$S_1 = \frac{i}{2}\gamma_2\gamma_3$	$S_2 = \frac{i}{2}\gamma_1\gamma_2$	$S_3 = \frac{i}{2}\gamma_3\gamma_1$
Boost	$K_1 = \frac{-i}{2}\gamma_5\gamma_1$	$K_2 = \frac{1}{2}\gamma_1$	$K_3 = \frac{i}{2}\gamma_0\gamma_1$
Boost	$Q_1 = \frac{i}{2}\gamma_5\gamma_3$	$Q_2 = \frac{-1}{2}\gamma_3$	$Q_3 = -\frac{i}{2}\gamma_0\gamma_3$
Boost	$G_1 = \frac{-i}{2}\gamma_5\gamma_2$	$G_2 = \frac{1}{2}\gamma_2$	$G_3 = \frac{i}{2}\gamma_0\gamma_2$

4.1. Non-Canonical Transformations in Classical Mechanics

In addition to Dirac's ten oscillator matrices, we can consider the matrix

$$G_3 = \frac{i}{2} \begin{pmatrix} I & 0\\ 0 & -I \end{pmatrix}$$
(25)

which will generate a radial expansion of the phase space of the first oscillator, while contracting that of the second phase space [14], as illustrated in Figure 1. What is the physical significance of this operation? The expansion of phase space leads to an increase in uncertainty and entropy [8,14].

Figure 1. Expanding and contracting phase spaces. Canonical transformations leave the area of each phase space invariant. Non-canonical transformations can change them, yet the product of these two areas remains invariant.



The contraction of the second phase space has a lower limit in quantum mechanics, namely it cannot become smaller than Planck's constant. However, there is no such lower limit in classical mechanics. We shall go back to this question in Section 5.

In the meantime, let us study what happens when the matrix G_3 is introduced into the set of matrices given in Equations (20) and (21). It commutes with S_3, L_3, K_1, K_2, Q_1 , and Q_2 . However, its commutators with the rest of the matrices produce four more generators:

$$[G_3, L_1] = iG_2, \quad [G_3, L_2] = -iG_1, \quad [G_3, K_3] = iS_2, \quad [G_3, Q_3] = -iS_1$$
(26)

where

$$G_{1} = \frac{i}{2} \begin{pmatrix} 0 & I \\ I & 0 \end{pmatrix}, \qquad G_{2} = \frac{1}{2} \begin{pmatrix} 0 & -\sigma_{2} \\ \sigma_{2} & 0 \end{pmatrix}$$
$$S_{1} = \frac{i}{2} \begin{pmatrix} 0 & \sigma_{3} \\ -\sigma_{3} & 0 \end{pmatrix}, \qquad S_{2} = \frac{i}{2} \begin{pmatrix} 0 & -\sigma_{1} \\ \sigma_{1} & 0 \end{pmatrix}$$
(27)

If we take into account the above five generators in addition to the ten generators of Sp(4), there are fifteen generators. These generators satisfy the set of commutation relations given in Equation (24).

Indeed, the ten Sp(4) generators together with the five new generators form the Lie algebra for the group SL(4, r). There are thus fifteen four-by-four matrices. They can be written in terms of the fifteen Majorana matrices, as given in Table 1.

4.2. Local Isomorphism between O(3,3) and SL(4,r)

It is now possible to write fifteen six-by-six matrices that generate Lorentz transformations on the three space coordinates and three time coordinates [6]. However, those matrices are difficult to handle and do not show existing regularities. In this section, we write those matrices as two-by-two matrices of three-by-three matrices.

For this purpose, we construct four sets of three-by-three matrices given in Table 2. There are two sets of rotation generators

$$L_i = \begin{pmatrix} A_i & 0\\ 0 & 0 \end{pmatrix}, \qquad S_i = \begin{pmatrix} 0 & 0\\ 0 & A_i \end{pmatrix}$$
(28)

applicable to the space and time coordinates respectively.

There are also three sets of boost generators. In the two-by-two representation of the matrices given in Table 2, they are

$$K_i = \begin{pmatrix} 0 & B_i \\ \tilde{B}_i & 0 \end{pmatrix}, \quad Q_i = \begin{pmatrix} 0 & C_i \\ \tilde{C}_i & 0 \end{pmatrix}, \quad G_i = \begin{pmatrix} 0 & D_i \\ \tilde{D}_i & 0 \end{pmatrix}$$
(29)

where the three-by-three matrices A_i, B_i, C_i , and D_i are given in Table 2, and $\tilde{A}_i, \tilde{B}_i, \tilde{C}_i, \tilde{D}_i$ are their transposes respectively.

Table 2. Three-by-three matrices constituting the two-by-two representation of generators of the O(3,3) group.

	i = 1	i = 2	i = 3
A_i	$\begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -i \\ 0 & i & 0 \end{pmatrix}$	$\begin{pmatrix} 0 & 0 & i \\ 0 & 0 & 0 \\ -i & 0 & 0 \end{pmatrix}$	$\begin{pmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$
B_i	$\begin{pmatrix} i & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$	$\begin{pmatrix} 0 & 0 & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$	$\begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ i & 0 & 0 \end{pmatrix}$
C_i	$\begin{pmatrix} 0 & i & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$	$\begin{pmatrix} 0 & 0 & 0 \\ 0 & i & 0 \\ 0 & 0 & 0 \end{pmatrix}$	$\begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & i & 0 \end{pmatrix}$
D_i	$\begin{pmatrix} 0 & 0 & i \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$	$\begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & i \\ 0 & 0 & 0 \end{pmatrix}$	$\begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & i \end{pmatrix}$

There is a four-by-four Majorana matrix corresponding to each of these fifteen six-by-six matrices, as given in Table 1.

There are of course many interesting subgroups. The most interesting case is the O(3, 2) subgroup, and there are three of them. Another interesting feature is that there are three time dimensions. Thus, there are also O(2,3) subgroups applicable to two space and three time coordinates. This symmetry between space and time coordinates could be an interesting future investigation.

5. Feynman's Rest of the Universe

In his book on statistical mechanics [9], Feynman makes the following statement. When we solve a quantum-mechanical problem, what we really do is divide the universe into two parts - the system in

which we are interested and the rest of the universe. We then usually act as if the system in which we are interested comprised the entire universe. To motivate the use of density matrices, let us see what happens when we include the part of the universe outside the system.

We can use two coupled harmonic oscillators to illustrate what Feynman says about his rest of the universe. One of the oscillators can be used for the world in which we make physical measurements, while the other belongs to the rest of the universe [8].

Let us start with a single oscillator in its ground state. In quantum mechanics, there are many kinds of excitations of the oscillator, and three of them are familiar to us. First, it can be excited to a state with a definite energy eigenvalue. We obtain the excited-state wave functions by solving the eigenvalue problem for the Schrödinger equation, and this procedure is well known.

Second, the oscillator can go through coherent excitations. The ground-state oscillator can be excited to a coherent or squeezed state. During this process, the minimum uncertainty of the ground state is preserved. The coherent or squeezed state is not in an energy eigenstate. This kind of excited state plays a central role in coherent and squeezed states of light, which have recently become a standard item in quantum mechanics.

Third, the oscillator can go through thermal excitations. This is not a quantum excitation but a statistical ensemble. We cannot express a thermally excited state by making linear combinations of wave functions. We should treat this as a canonical ensemble. In order to deal with this thermal state, we need a density matrix.

For the thermally excited single-oscillator state, the density matrix takes the form [9,15,16].

$$\rho(x,y) = \left(1 - e^{-1/T}\right) \sum_{k} e^{-k/T} \phi_k(x) \phi_k^*(x)$$
(30)

where the absolute temperature T is measured in the scale of Boltzmann's constant, and $\phi_k(x)$ is the k-th excited state wave oscillator wave function. The index ranges from 0 to ∞ .

We also use Wigner functions to deal with statistical problems in quantum mechanics. The Wigner function for this thermally excited state is [4,9,15]

$$W_T(x,p) = \frac{1}{\pi} \int e^{-2ipz} \rho(x-z, x+z) dz$$
(31)

which becomes

$$W_T = \left[\frac{\tanh(1/2T)}{\pi}\right] \exp\left[-\left(x^2 + p^2\right) \tanh(1/2T)\right]$$
(32)

This Wigner function becomes

$$W_0 = \frac{1}{\pi} \exp\left[-\left(x^2 + p^2\right)\right]$$
(33)

when T = 0. As the temperature increases, the radius of this Gaussian form increases from one to [14].

$$\frac{1}{\sqrt{\tanh(1/2T)}}\tag{34}$$

The question is whether we can derive this expanding Wigner function from the concept of Feynman's rest of the universe. In their 1999 paper [8], Han *et al.* used two coupled harmonic oscillators to illustrate what Feynman said about his rest of the universe. One of their two oscillators is for the world in which

we do quantum mechanics and the other is for the rest of the universe. However, these authors did not use canonical transformations. In Section 5.1, we summarize the main point of their paper using the language of canonical transformations developed in the present paper.

Their work was motivated by the papers by Yurke *et al.* [17] and by Ekert *et al.* [18], and the Barnett–Phoenix version of information theory [19]. These authors asked the question of what happens when one of the photons is not observed in the two-mode squeezed state.

In Section 5.2, we introduce another form of Feynman's rest of the universe, based on non-canonical transformations discussed in the present paper. For a two-oscillator system, we can define a single-oscillator Wigner function for each oscillator. Then non-canonical transformations allow one Wigner function to expand while forcing the other to shrink. The shrinking Wigner function has a lower limit in quantum mechanics, while there is none in classical mechanics. Thus, Feynman's rest of the universe consists of classical mechanics where Planck's constant has no lower limit.

In Section 5.3, we translate the mathematics of the expanding Wigner function into the physical language of entropy.

5.1. Canonical Approach

Let us start with the ground-state wave function for the uncoupled system. Its Hamiltonian is given in Equation (7), and its wave function is

$$\psi_0(x_1, x_2) = \frac{1}{\sqrt{\pi}} \exp\left[-\frac{1}{2}\left(x_1^2 + x_2^2\right)\right]$$
(35)

We can couple these two oscillators by making the following canonical transformations. First, let us rotate the coordinate system by 45° to get

$$\frac{1}{\sqrt{2}}(x_1+x_2), \qquad \frac{1}{\sqrt{2}}(x_1-x_2)$$
 (36)

Let us then squeeze the coordinate system:

$$\frac{e^{\eta}}{\sqrt{2}}(x_1+x_2), \qquad \frac{e^{-\eta}}{\sqrt{2}}(x_1-x_2)$$
(37)

Likewise, we can transform the momentum coordinates to

$$\frac{e^{-\eta}}{\sqrt{2}}(p_1 + p_2), \qquad \frac{e^{\eta}}{\sqrt{2}}(p_1 - p_2)$$
(38)

Equations (37) and (38) constitute a very familiar canonical transformation. The resulting wave function for this coupled system becomes

$$\psi_{\eta}(x_1, x_2) = \frac{1}{\sqrt{\pi}} \exp\left\{-\frac{1}{4} \left[e^{\eta}(x_1 - x_2)^2 + e^{-\eta}(x_1 + x_2)^2\right]\right\}$$
(39)

This transformed wave function is illustrated in Figure 2.

As was discussed in the literature for several different purposes [4,20–22], this wave function can be expanded as

$$\psi_{\eta}(x_1, x_2) = \frac{1}{\cosh \eta} \sum_{k} \left(\tanh \frac{\eta}{2} \right)^k \phi_k(x_1) \phi_k(x_2) \tag{40}$$

where the wave function $\phi_k \phi(x)$ and the range of summation are defined in Equation (30). From this wave function, we can construct the pure-state density matrix

$$\rho(x_1, x_2; x_1', x_2') = \psi_\eta(x_1, x_2)\psi_\eta(x_1', x_2')$$
(41)

which satisfies the condition $\rho^2 = \rho$:

$$\rho(x_1, x_2; x_1', x_2') = \int \rho(x_1, x_2; x_1'', x_2'') \rho(x_1'', x_2''; x_1', x_2') dx_1'' dx_2''$$
(42)

Figure 2. Two-dimensional Gaussian form for two-coupled oscillators. One of the variables is observable while the second variable is not observed. It belongs to Feynman's rest of the universe.



If we are not able to make observations on the x_2 , we should take the trace of the ρ matrix with respect to the x_2 variable. Then the resulting density matrix is

$$\rho(x, x') = \int \psi_{\eta}(x, x_2) \left\{ \psi_{\eta}(x', x_2) \right\}^* dx_2$$
(43)

Here, we have replaced x_1 and x'_1 by x and x' respectively. If we complete the integration over the x_2 variable,

$$\rho(x, x') = \left(\frac{1}{\pi \cosh \eta}\right)^{1/2} \exp\left\{-\left[\frac{(x+x')^2 + (x-x')^2 \cosh^2 \eta}{4\cosh \eta}\right]\right\}$$
(44)

The diagonal elements of the above density matrix are

$$\rho(x,x) = \left(\frac{1}{\pi\cosh\eta}\right)^{1/2} \exp\left(-x^2/\cosh\eta\right)$$
(45)

With this expression, we can confirm the property of the density matrix: $Tr(\rho) = 1$.

As for the trace of ρ^2 , we can perform the integration

$$Tr\left(\rho^{2}\right) = \int \rho(x, x')\rho(x', x)dx'dx = \frac{1}{\cosh\eta}$$
(46)

which is less than one for nonzero values of η .

The density matrix can also be calculated from the expansion of the wave function given in Equation (40). If we perform the integral of Equation (43), the result is

$$\rho(x,x') = \left(\frac{1}{\cosh(\eta/2)}\right)^2 \sum_{k} \left(\tanh\frac{\eta}{2}\right)^{2k} \phi_k(x)\phi_k^*(x') \tag{47}$$

which leads to $Tr(\rho) = 1$. It is also straightforward to compute the integral for $Tr(\rho^2)$. The calculation leads to

$$Tr\left(\rho^{2}\right) = \left(\frac{1}{\cosh(\eta/2)}\right)^{4} \sum_{k} \left(\tanh\frac{\eta}{2}\right)^{4k}$$
(48)

The sum of this series becomes to $(1/\cosh\eta)$, as given in Equation (46).

We can approach this problem using the Wigner function. The Wigner function for the two oscillator system is [4]

$$W_0(x_1, p_1; x_2, p_2) = \left(\frac{1}{\pi}\right)^2 \exp\left[-\left(x_1^2 + p_1^2 + x_2^2 + p_2^2\right)\right]$$
(49)

If we pretend not to make measurement on the second oscillator coordinate, the x_2 and p_2 variables have to be integrated out [8]. The net result becomes the Wigner function for the first oscillator.

The canonical transformation of Equations (37) and (38) changes this Wigner function to

$$W(x_1, x_2; p_1, p_2) = \left(\frac{1}{\pi}\right)^2 \exp\left\{-\frac{1}{2}\left[e^{\eta}(x_1 - x_2)^2 + e^{-\eta}(x_1 + x_2)^2 + e^{-\eta}(p_1 - p_2)^2 + e^{\eta}(p_1 - p_2)^2\right]\right\}$$
(50)

If we do not observe the second pair of variables, we have to integrate this function over x_2 and p_2 :

$$W_{\eta}(x_1, p_1) = \int W(x_1, x_2; p_1, p_2) dx_2 dp_2$$
(51)

and the evaluation of this integration leads to [8]

$$W_{\eta}(x,p) = \frac{1}{\pi \cosh \eta} \exp\left[-\left(\frac{x^2 + p^2}{\cosh \eta}\right)\right]$$
(52)

where we use x and p for x_1 and p_1 respectively.

This Wigner function is of the form given in Equation (32) for the thermal excitation, if we identify the squeeze parameter η as [23]

$$\cosh \eta = \frac{1}{\tanh(1/2T)} \tag{53}$$

The failure to make measurement on the second oscillator leads to the radial expansion of the Wigner phase space as in the case of the thermal excitation.

5.2. Non-Canonical Approach

As we noted before, among the fifteen Dirac matrices, ten of them can be used for canonical transformations in classical mechanics, and thus in quantum mechanics. They play a special role in quantum optics [2-5].

The remaining five of them can have their roles if the change in the phase space area is allowed. In quantum mechanics, the area can be increased, but it has a lower limit called Plank's constant. In classical mechanics, this constraint does not exist. The mathematical formalism given in this paper allows us to study this aspect of the system of coupled oscillators.

Let us choose the following three matrices from those in Equations (20) and (21).

$$S_3 = \frac{1}{2} \begin{pmatrix} \sigma_2 & 0\\ 0 & \sigma_2 \end{pmatrix}, \quad K_2 = \frac{i}{2} \begin{pmatrix} \sigma_3 & 0\\ 0 & \sigma_3 \end{pmatrix}, \quad Q_2 = \frac{i}{2} \begin{pmatrix} \sigma_1 & 0\\ 0 & \sigma_1 \end{pmatrix}$$
(54)

They satisfy the closed set of commutation relations:

$$[S_3, K_2] = iQ_2, \quad [S_3, Q_2] = -iQ_3, \quad [K_2, Q_2] = -iS_3$$
(55)

This is the Lie algebra for the Sp(2) group, This is the symmetry group applicable to the single-oscillator phase space [4], with one rotation and two squeezes. These matrices generate the same transformation for the first and second oscillators.

We can choose three other sets with similar properties. They are

$$S_{3} = \frac{1}{2} \begin{pmatrix} \sigma_{2} & 0 \\ 0 & \sigma_{2} \end{pmatrix}, \quad Q_{1} = \frac{i}{2} \begin{pmatrix} \sigma_{3} & 0 \\ 0 & -\sigma_{3} \end{pmatrix}, \quad K_{1} = \frac{i}{2} \begin{pmatrix} \sigma_{1} & 0 \\ 0 & -\sigma_{1} \end{pmatrix}$$
(56)

$$L_{3} = \frac{1}{2} \begin{pmatrix} -\sigma_{2} & 0\\ 0 & \sigma_{2} \end{pmatrix}, \quad K_{2} = \frac{i}{2} \begin{pmatrix} \sigma_{3} & 0\\ 0 & \sigma_{3} \end{pmatrix}, \quad K_{1} = \frac{i}{2} \begin{pmatrix} -\sigma_{1} & 0\\ 0 & \sigma_{1} \end{pmatrix}$$
(57)

and

$$L_{3} = \frac{1}{2} \begin{pmatrix} -\sigma_{2} & 0\\ 0 & \sigma_{2} \end{pmatrix}, \quad -Q_{2} = \frac{i}{2} \begin{pmatrix} -\sigma_{3} & 0\\ 0 & \sigma_{3} \end{pmatrix}, \quad Q_{2} = \frac{i}{2} \begin{pmatrix} \sigma_{1} & 0\\ 0 & \sigma_{1} \end{pmatrix}$$
(58)

These matrices also satisfy the commutation relations given in Equation (55). In this case, the squeeze transformations take opposite directions in the second phase space.

Since all these transformations are canonical, they leave the area of each phase space invariant. However, let us look at the non-canonical generator G_3 of Equation (25). It generates the transformation matrix of the form

$$\begin{pmatrix} e^{\eta} & 0\\ 0 & e^{-\eta} \end{pmatrix}$$
(59)

If η is positive, this matrix expands the first phase space while contracting the second. This contraction of the second phase space is allowed in classical mechanics, but it has a lower limit in quantum mechanics.

The expansion of the first phase space is exactly like the thermal expansion resulting from our failure to observe the second oscillator that belongs to the rest of the universe. If we expand the system of Dirac's ten oscillator matrices to the world of his fifteen Majorana matrices, we can expand and contract the first and second phase spaces without mixing them up. We can thus construct a model where the observed world and the rest of the universe remain separated. In the observable world, quantum mechanics remains valid with thermal excitations. In the rest of the universe, since the area of the phase space can decrease without lower limit, only classical mechanics is valid.

During the expansion/contraction process, the product of the areas of the two phase spaces remains constant. This may or may not be an extended interpretation of the uncertainty principle, but we choose not to speculate further on this issue.

Let us turn our attention to the fact that the groups SL(4, r) and Sp(4) are locally isomorphic to O(3,3) and O(3,2) respectively. This means that we can do quantum mechanics in one of the O(3,2) subgroups of O(3,3), as Dirac noted in his 1963 paper [1]. The remaining generators belong to Feynman's rest of the universe.

5.3. Entropy and the Expanding Wigner Phase Space

We have seen how Feynman's rest of the universe increases the radius of the Wigner function. It is important to note that the entropy of the system also increases.

Let us go back to the density matrix. The standard way to measure this ignorance is to calculate the entropy defined as [16,24-27].

$$S = -Tr\left(\rho\ln(\rho)\right) \tag{60}$$

where S is measured in units of Boltzmann's constant. If we use the density matrix given in Equation (44), the entropy becomes

$$S = 2\left\{\cosh^2\left(\frac{\eta}{2}\right)\ln\left(\cosh\frac{\eta}{2}\right) - \sinh^2\left(\frac{\eta}{2}\right)\ln\left(\sinh\frac{\eta}{2}\right)\right\}$$
(61)

In order to express this equation in terms of the temperature variable T, we write Equation (53) as

$$\cosh \eta = \frac{1 + e^{-1/T}}{1 - e^{-1/T}} \tag{62}$$

which leads to

$$\cosh^2\left(\frac{\eta}{2}\right) = \frac{1}{1 + e^{-1/T}}, \qquad \sinh^2\left(\frac{\eta}{2}\right) = \frac{e^{-1/T}}{1 + e^{-1/T}}$$
(63)

Then the entropy of Equation (61) takes the form [8]

$$S = \left(\frac{1}{T}\right) \left\{ \frac{1}{\exp\left(1/T\right) - 1} \right\} - \ln\left(1 - e^{-1/T}\right)$$
(64)

This familiar expression is for the entropy of an oscillator state in thermal equilibrium. Thus, for this oscillator system, we can relate our ignorance of the Feynman's rest of the universe, measured by of the coupling parameter η , to the temperature.

6. Concluding Remarks

In this paper, we started with the fifteen four-by-four matrices for the Majorana representation of the Dirac matrices, and the ten generators of the Sp(4) group corresponding to Dirac's oscillator matrices. Their explicit forms are given in the literature [6,7], and their roles in modern physics are well-known [3,4,11]. We re-organized them into tables.

The difference between these two representations consists of five matrices. The physics of this difference is discussed in terms of Feynman's rest of the universe [9]. According to Feynman, this universe consists of the world in which we do quantum mechanics, and the rest of the universe. In the rest of the universe, our physical laws may or may not be respected. In the case of coupled oscillators, without the lower limit on Planck's constant, we can do classical mechanics but not quantum mechanics in the rest of the universe.

In 1971, Feynman *et al.* [28] published a paper on the oscillator model of hadrons, where the proton consists of three quarks linked up by oscillator springs. In order to treat this problem, they use a three-particle symmetry group formulated by Dirac in his book on quantum mechanics [29,30]. An interesting problem could be to see what happens to the two quarks when one of them is not observed. Another interesting question could be to see what happens to one of the quarks when two of them are not observed.

Finally, we note here that group theory is a very powerful tool in approaching problems in modern physics. Different groups can share the same set of commutation relations for their generators. Recently, the group SL(2, c) through its correspondence with the SO(3, 1) has been shown to be the underlying language for classical and modern optics [4,31]. In this paper, we exploited the correspondence between SL(4, r) and O(3, 3), as well as the correspondence between Sp(4) and O(3, 2), which was first noted by Paul A. M. Dirac [1].

There could be more applications of group isomorphisms in the future. A comprehensive list of those correspondences is given in Gilmore's book on Lie groups [32].

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